SOFC Development Update at FuelCell Energy



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18th Annual Solid Oxide Fuel Cell (SOFC) Project Review Meeting Pittsburgh, PA

June 12-14, 2017



Introduction

- Project Objectives
- FCE's SOFC Development and Deployment Pathway

Progress in SOFC Technology

- Cell Technology Development
- Cell and Stack Manufacturing
- Transformational Technologies for Breakthrough Cost Reduction

System Development and Testing

- 200 kW System Development and Testing
- 100 kW Modular Power Block (MPB) Development
- MW-class Module Concept
- Related System Applications

Summary



Develop SOFC technology suitable for ultra-efficient central power generation systems (coal and natural gas fuels) featuring ≥97% CO₂ capture with significantly lower costs (≥ 20% lower) than Baseline approaches



Conduct cell & stack R&D focusing on performance, reliability, cost and manufacturing enhancements



Develop Innovative SOFC cell and stack technologies with the potential for transformational performance and cost characteristics



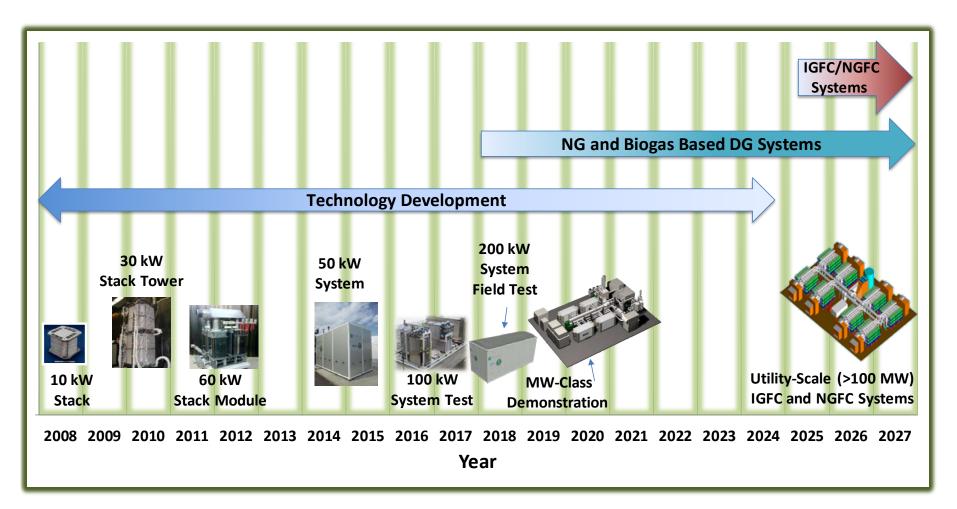
Design, build and operate 100-200 kW demonstration systems using natural gas fuel to validate stack operation in the field



Develop concept system design and stack module for a MW-class power plant, and estimate stack costs



SOFC Technology Development & Deployment Roadmap



 Ongoing technology development and system field testing is laying the foundation for cost-competitive DG and centralized SOFC power systems



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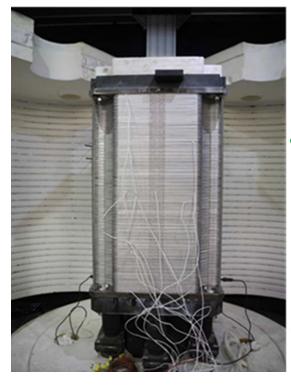
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Cell and Stack Technology Overview

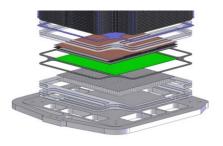


- Cell:
 - Planar anode supported
 - 0.6 X 250 X 250 mm with 550 cm² active area
 - Manufactured by tape casting, screen printing and co-sintering
- Stack
 - Ferritic stainless steel sheet Interconnect
 - Compressive ceramic seal
 - Integrated manifolding with formed flow field layers
 - 120 Cells in a standard stack with 16 kW output @ 160 A



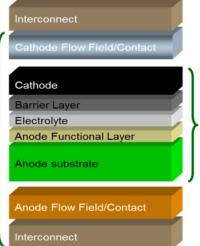


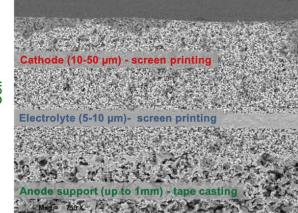




Cathode Barrier Layer Electrolyte Anode substrate

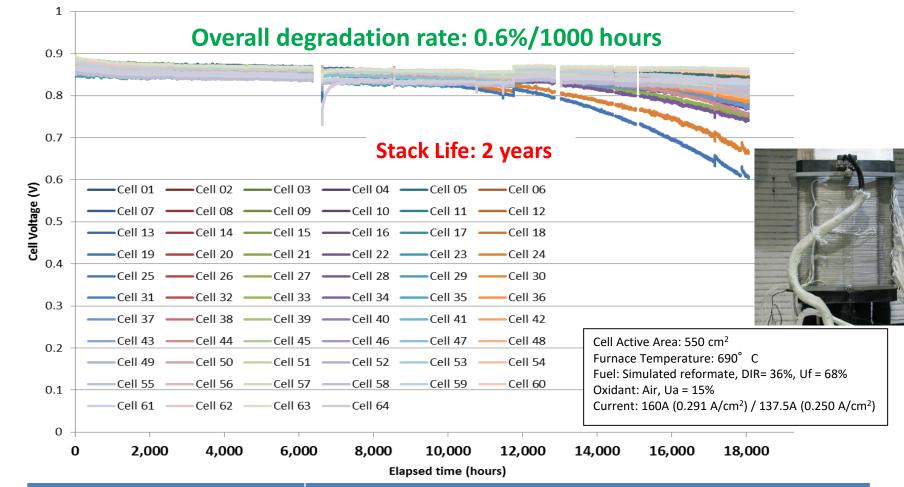
Stack Repeat Unit







64 cell Large Area Stack Testing with Cr Tolerant Technology Gen 1.0



| Identified Issues | Improvement |
|---------------------------|---|
| Inadequate contact | Contact paste and contact / seal balance optimization |
| Cr poisoning | Cr tolerant technology development |
| Manufacturing Reliability | Gage R&R, production and QC tooling improvement |



Improving Cr Getter and Interconnect Coating

Improve coating coverage

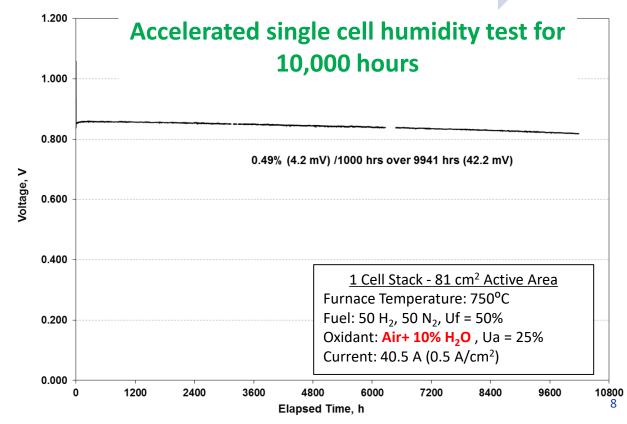
Ce doped Co-coated IC

Baseline > 15%/1000h

Gen 1 0.63%/1000h Gen 2 0.49%/1000h

Gen 2.0 Cr Getter

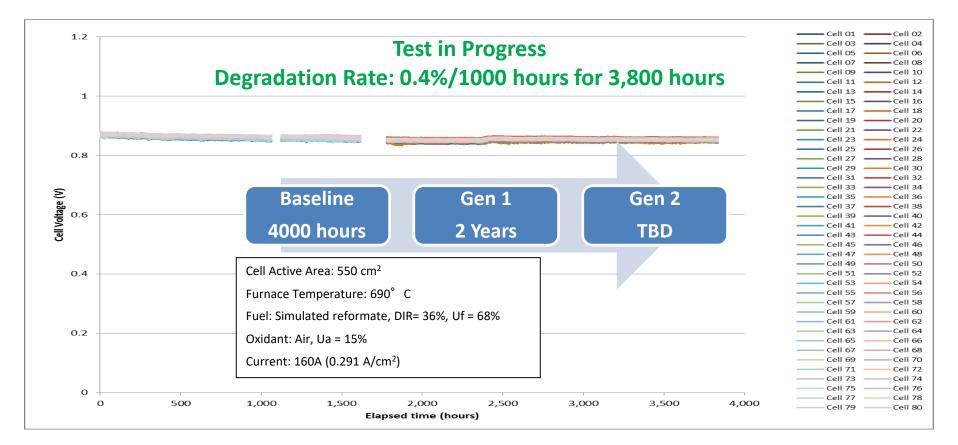
- Gen 2.0 Cr Getter development was focused on improving stability and compatibility of the Cr Getter
- Unique fabrication process was developed to make on-cell Cr Getter stable
- Optimized Cr Getter composition and design were down selected as Gen 2.0 Cr Getter technology for stack





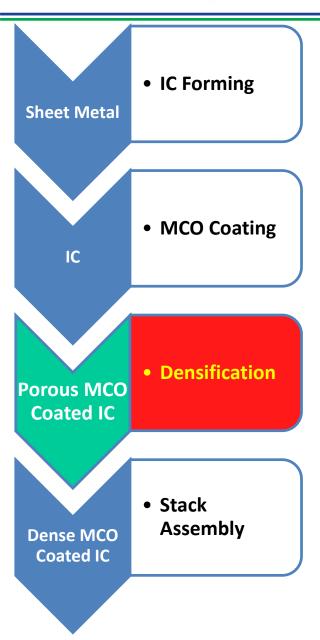
Validation Test: 80-Cell Large Area Stack with Gen 2 Cr Tolerant Technology

| Identified Issues | Improvement | Results | |
|------------------------------|---|--|--|
| Inadequate contact | Contact paste and contact / seal balance optimization | Less than 20 mV voltage spread after 3800 hours of stack operation | |
| Cr poisoning | Cr tolerant technology development | Gen 2 Cr tolerant technology is under evaluation with a 80-cell stack. So far the degradation rate is 0.4% per 1000 hour | |
| Manufacturing Reliability | Gage R&R, production and QC tooling improvement | Incorporated in the cell/stack manufacturing for ongoing production and future deliverable stacks | |

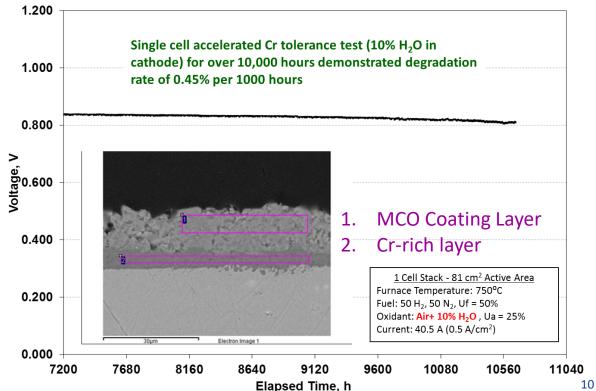




Ex-situ MCO Coating Technology

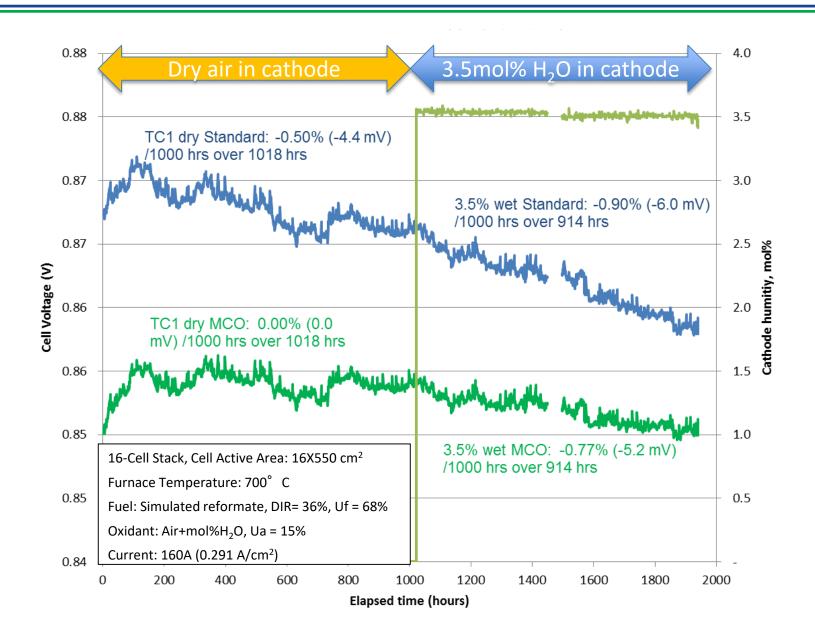


- Issues with ex-situ MCO coating
 - High-temperature (>800 °C) reducing atmosphere densification process leads to high cost and oxides forming at anode side IC
- FCE MCO coating focus on simpler densification process at lower temperature
- Various sintering aids were added to MCO coating



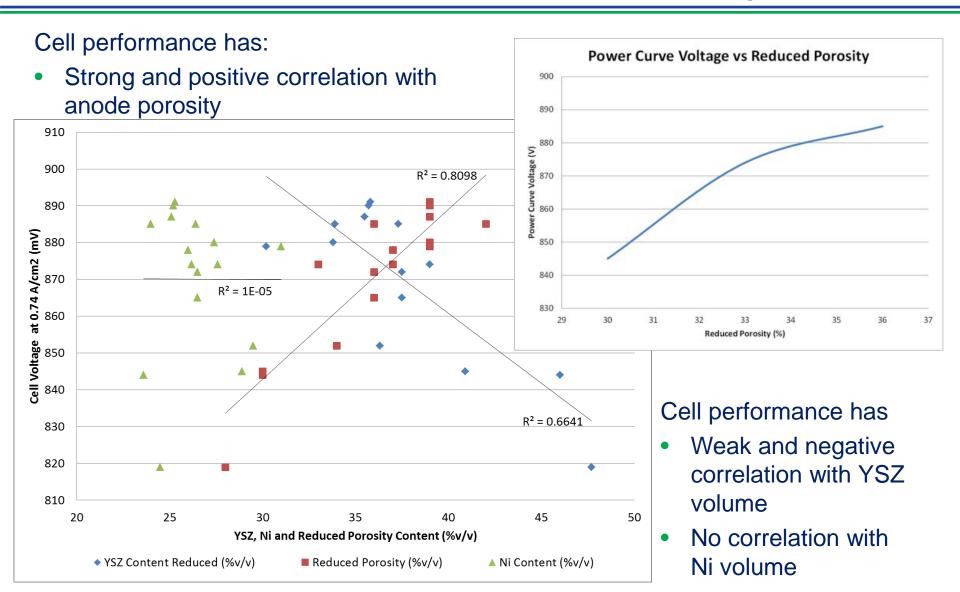


16-Cell Parametric Stack Testing (In Progress) Standard Co-Coating vs. MCO Coating





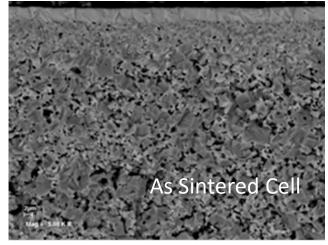
Advanced Cell Development: Anode Substrate Structure Optimization

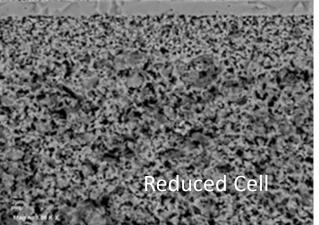




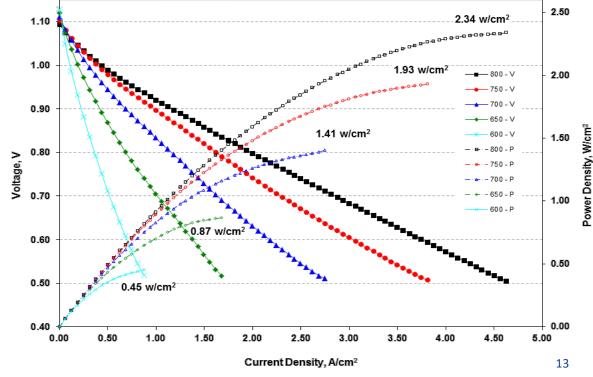
Advanced Cell Development: Anode Substrate Thickness Reduction

- The performance of cell at higher fuel utilization of over 80% is affected strongly by anode thickness
- Thin cell with 300 µm anode has the potential to operate beyond 85% fuel utilization





Recent anode development has further improved cell performance (2.34 W/cm² at 4.7 A/cm²)





Advanced Barrier Layer Manufacturing

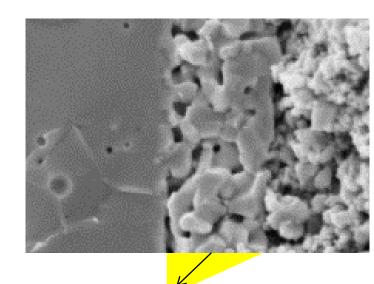
Objective:

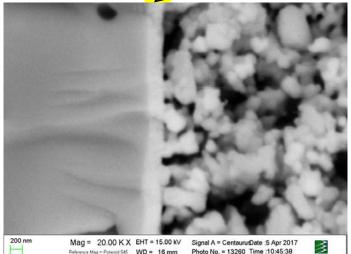
Reduce thickness and increase density of the GDC barrier layer utilizing advanced manufacturing

techniques to reduce cost and improve performance

Innovative Solutions Being Explored:

- 1. Atomic Layer Deposition (ALD) to form a very thin (tens of nanometer) and fully dense barrier layer
 - ALD is commercially used in a wide variety of applications, including ZrO2 films for DRAM capacitors and barrier coatings for displays
 - ALD can be scaled up cost effectively (large batch processing)
- 2. Reactive Spray Deposition Technology (RSDT) for cost-effective manufacturing of dual-layer GDC barrier layer and cathode electrode on sintered half-cells
 - RSDT is a low cost, rapid processing method that can be performed in one continuous process without the need for long sintering times at elevated temperatures
 - Deposition is highly customizable (manipulation of process parameters), thereby allowing a single process to deposit a dense or a porous layer

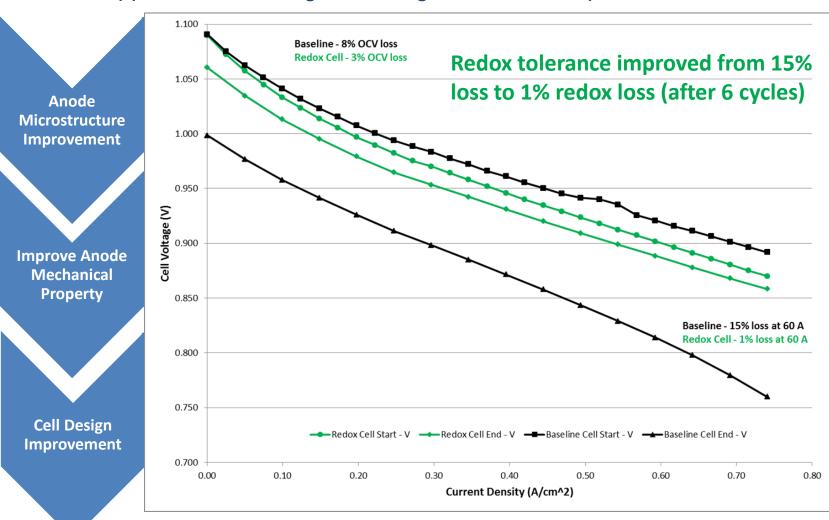






Status of Redox Tolerance Improvement

Implementing multi-prong approaches in developing innovative redox tolerant anode-supported cell through reducing anode strain upon Ni re-oxidation





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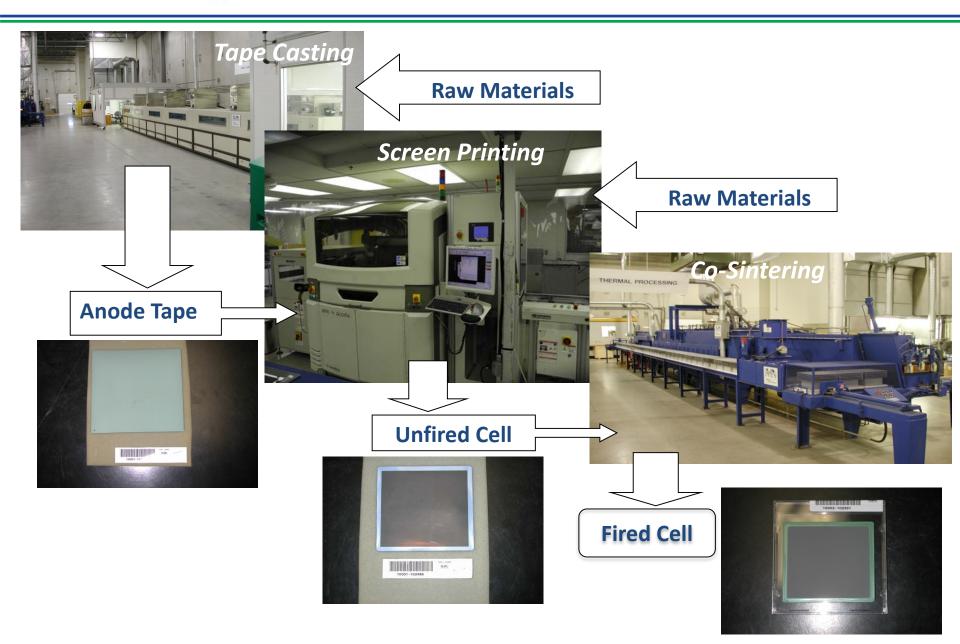
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Baseline Cell Manufacturing Process Flow





Cell and Stack Manufacturing Top-Level Process

CELL MANUFACTURING

- 1. Powder & Paste
- 2. Half Cell Production
- 3. Cell Completion

Every Stack is Individually Conditioned and Undergoes Rigorous Factory Acceptance Tests

SEAL MANUFACTURING

STACK ASSEMBLY CONDITIONING & ACCEPTANCE TESTING

STACKS FOR MODULE

METALLICS MANUFACTURING

- 1. Anode and Cathode Flow Fields
- Spot welding
- 3. Component kitting
- 4. Sub-assembly manufacturing

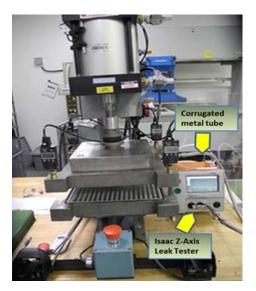




Cell and Stack Manufacturing Quality & Quality Control - Example

- Individual stack performance is limited by weakest unit cell, so high reliability is required
- New cell thickness + leak test QC station implemented simulating thickness when compressed in stack with Total Gage Reproducibility and Repeatability (Gage R&R) of 6% (desired target < 30%) with 0.04 mm total tolerance





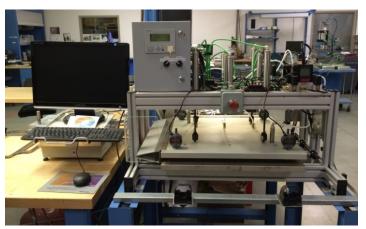
New High-Throughput & Combined Function QC Stations Ensure Quality Cell Components



Cell and Stack Manufacturing Quality & QC Focus – Stack Metallics



Metallic Part QC Station



Double Hinge QC Station



Flow Field QC Station

For smaller footprint contact / flow field materials

For full footprint interconnect and shims

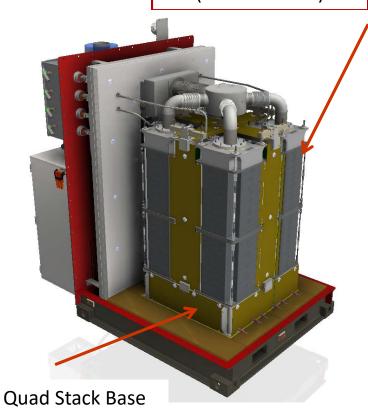
For anode flow field screening

- Increased Production Quality
- Reduced Inspection Labor Time
- Increased Stack Operational Reliability



Stack Build & Acceptance Status for 200 kW System Field Test

SOFC Stack Tower (2x 120 Stacks)



Each 100 kW Stack Module includes 8 x 120-cell stacks (or 960-cells)

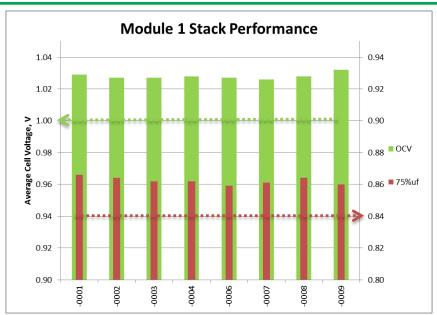
| Module 1 (100-01) | | Module 2 (100-02) |
|-------------------|---|-------------------|
| (100 kW) | | (100 kW) |
| GT059879-0001 | | GT060322-0001 |
| GT059879-0002 | | GT060322-0002 |
| GT059879-0003 | | GT060322-0003 |
| GT059879-0004 | | GT060322-0004 |
| GT059879-0005 | | GT060322-0005 |
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| GT059879-0008 | | GT060322-0008 |
| GT059879-0009 V | , | |

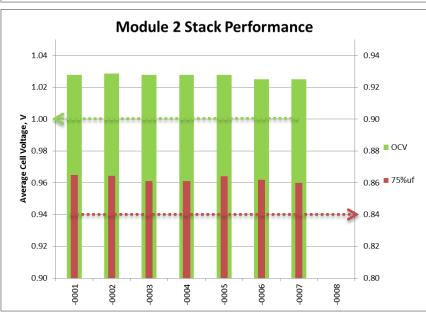
 200 kW SOFC System: 15/16 = 94% complete (and 94% yield)

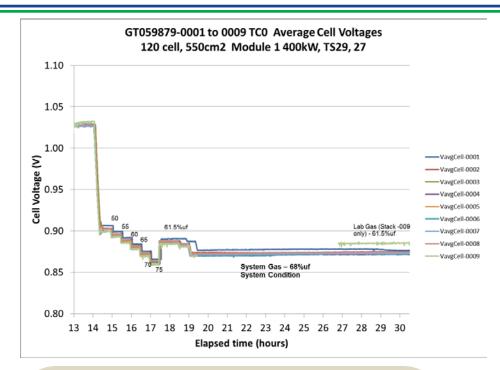
*GT059879-0005 lost due to error in the stack assembly (One anode flow field was placed in reverse)



Cell and Stack Manufacturing Factory Acceptance Testing Summary







- Excellent stack to stack performance reproducibility at high fuel utilization
 - > 0.8% difference (or +/- 0.4%) in average stack voltage
 - > 7 mV standard deviation in individual cell voltages
- Stacks for Module 1 + 2 meet cell voltage criteria



Stacks Built Will be Shipped to Danbury Facility for Module Integration











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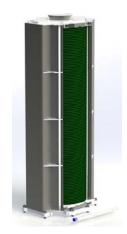
Summary



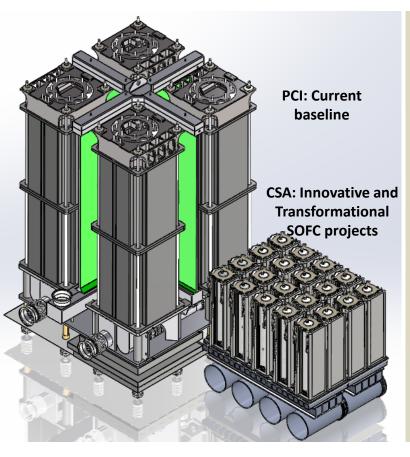
Transformational SOFC Concepts



Current Pre-Commercial Integrated Manifold (PCI) Stack



Compact SOFC Architecture (CSA) Stack with ~10-fold Increase in W/kg Power Density



Comparison of 100 kW Stack Modules

Objective

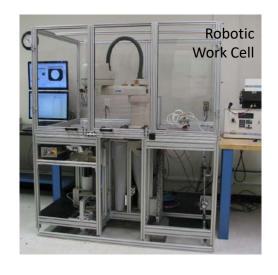
Develop an innovative stack design enabling significant (> 50%) reduction in stack cost relative to baseline stack design (PCI)

Approach

Target significant savings in both cell and stack materials and production labor



Approach to Cost Reduction





Design Philosophy

- Thinned cell and stack components to reduce material content without impacting performance
- Stack design choices that simplify assembly steps and reduce unit cell part counts
- Increased cell count per stack (>300 cells)
- Use of same cell, interconnect and coating materials validated in the large area stack (PCI) platform

Manufacturing Approach

- Design for advanced high-throughput manufacturing technologies for thin components taking cues from CD / DVD manufacture
- Utilize high speed pick and place robot (Adept i600) for efficient sub-assembly build, cell and component QC and precise cell / stack assembly
- Further innovation in cell and seal manufacture, as well as greater automation such as high speed automated screen printing



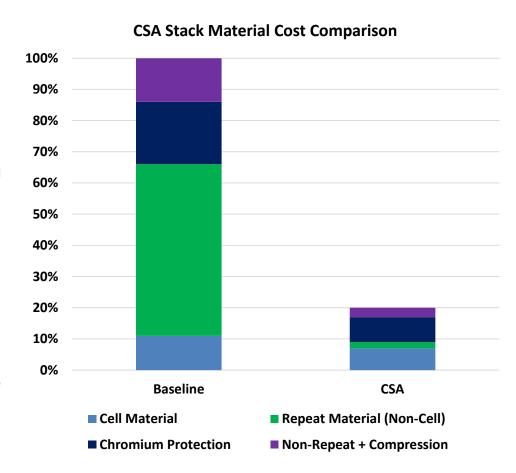


Low Volume Raw Material Cost Comparison

 Direct raw material content (steel, powders) of the baseline large area stack and CSA stack platform were compared from detailed bill of materials

Basis:

- Present day (0.3 MW/yr) material costs were selected
- Stack performance on a per active area basis is identical



Lightweight stack design translates directly to low amount (and cost) for input raw materials.

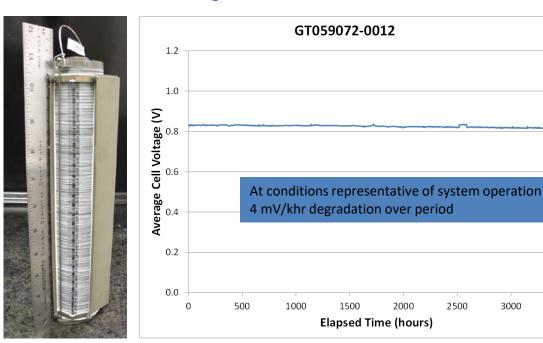


CSA Stack Validation Status

3000

3500

- Initial detailed design for CSA stack completed
- Majority of parts in-house or on order
- Robotic manufacturing work cell 80% complete
- Targeting first build trials and stack testing starting in Q3 this year
- Thin cell performance and degradation successfully demonstrated and looking positive for stack integration

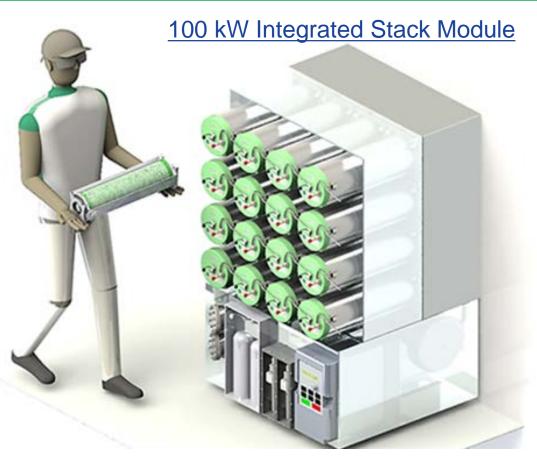


CSA-like Sub-Scale Demonstration Stack Test under System Gas fuel conditions



Integrated Module Design

- Includes close-coupled hot-BoP components
- Serviceable by a single technician, minimal tooling
- High availability due to sparing philosophy
- Potential for significantly lower \$/kW and higher kW/ft³ due to process intensification and compact stack design benefits



(Inverter and Fuel Desulfurization not shown)

Transformational stack enables low-cost and compact hot-module designs that are scalable for MW-class systems



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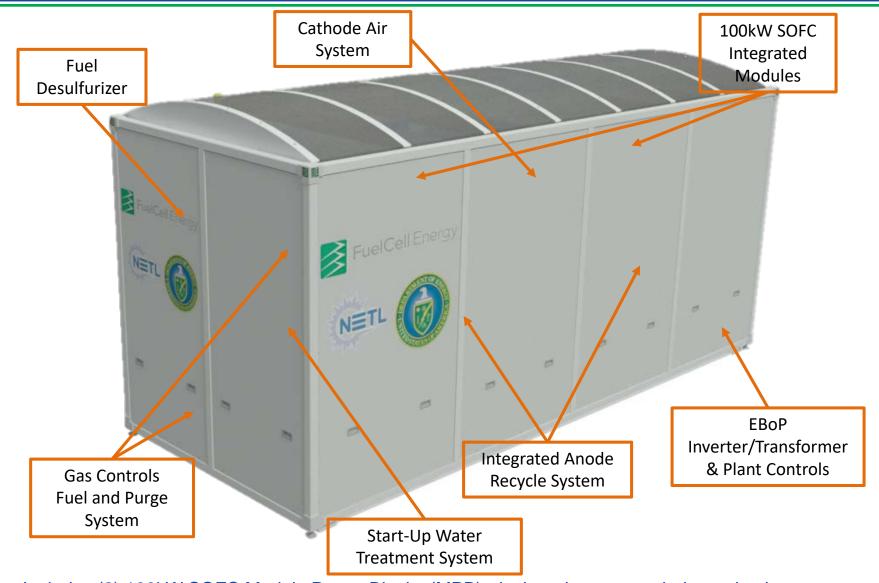
200 kW SOFC System

200 kW SOFC System Performance Summary Normal **SOFC Gross Power Operating Rated Power Conditions DC Power** 225.0 kW 244.0 kW **Energy & Water Input** Natural Gas Fuel Flow scfm 21.6 scfm 19.7 Air Fuel Energy (LHV) 323.2 kW 355.5 kW Water Consumption @ Full Power 0 0 gpm gpm Moderate ten **Consumed Power** to reduce cost AC Power Consumption 10.8 12.5 kW kW 11.3 12.2 Inverter Loss kW kW increasing reli **Total Parasitic Power Consumption** 24.7 22.0 kW kW Net Generation & Waste Heat Availability **SOFC Plant Net AC Output** 203.0 kW 219.3 kW **Fuel Gas** mer Available Heat for CHP (to 48.9°C) 84.7 kW 90.8 kW °C **Exhaust Temperature - nominal** 370 °C 370 Startup Wate **Efficiency** ocess Loop **Electrical Efficiency (LHV)** 62.8 % 61.7 % Process Loop Total CHP Efficiency (LHV) to 48.9°C % % 87.2 89.0

→ 200 kW Modular Power Block (MPB) system is designed to validate stack reliability and scalable stack-module design.



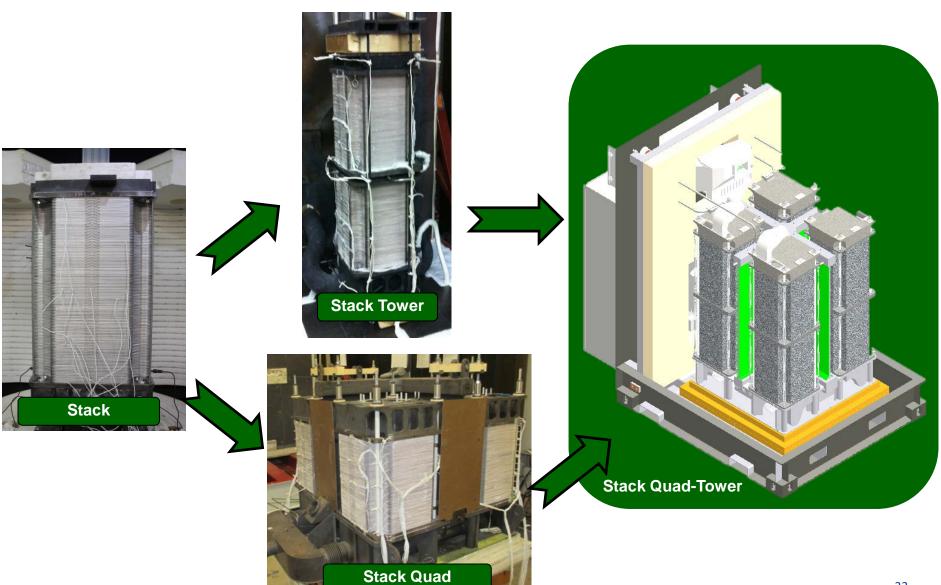
200kW SOFC Power System Layout



- Includes (2) 100kW SOFC Module Power Blocks (MPB) designed to operate independently
- Factory assembled & shipped as a standard ISO 20' x 8' container

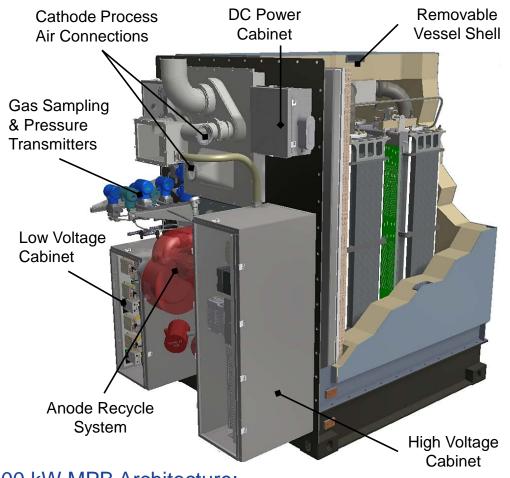


100 kW Modular Power Block (MPB) Stack Arrangement





100 kW MPB Design & Fabrication





100 kW MPB Architecture:

- Fully integrates all hot BoP equipment within the module
- Eliminates high-temperature plant piping & valves
- Reduces Cr evaporation protective coatings within plant/module
- Integrated anode blower & module-specific instruments greatly decreases plant footprint



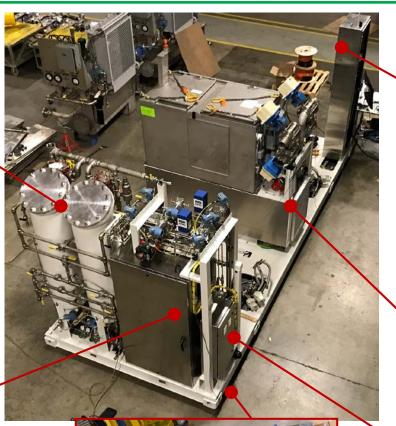
200 kW MPB BoP Fabrication



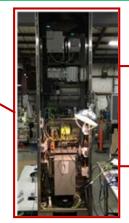
Desulfurization Units



Start-up Water System



Skid Support-Integrated Piping



Process Control System (PCS)



Air Delivery System



Remote I/O Cabinet (RIO)









1-Piece Ship & Install

→ 200 kW BoP (operating with 1 Module) installed at FCE's Danbury, CT Test Facility. BoP/Module validation testing is underway.



200 kW System Field Testing



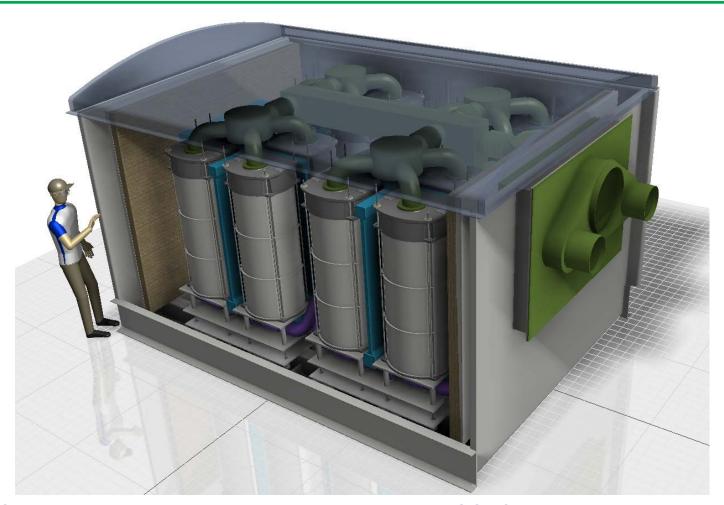




System Installation Planned Q3 – 4, 2017



MW-Class Module Conceptual Design



- MW-Class concept design utilizes proven quad-base SOFC stack tower configuration to minimize scale-up risk.
- Integrated hot-BoP components to minimize cost and footprint
- Module power density (0.7 kW/ft³), nearly twice the value for 100 kW module (.4 kW/ft³)



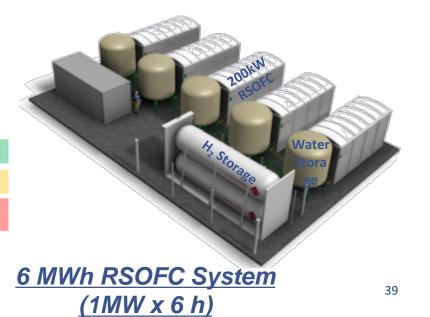
Energy Storage Application

- In addition to the opportunities for low-cost power production, CSA-style stacks have been demonstrated in electrolysis (SOEC) and reversible (RSOFC) modes
- Advantage over conventional storage:
 - Long duration achieved by adding hydrogen storage, without adding stacks
- Advantage over other hydrogen-based storage:
 - Efficiency advantage due to higher efficiency of SOFC in fuel cell and electrolysis modes of operation

1,800
1,400
1,400
1,000
1,000
600
400
0
2
4
6
8
10
12
14
Discharge Duration, Hours

Baseline 20 cell
CSA-style stack:
Demonstrated
stable electrolysis
operation at 2
A/cm²









Incorporated Gen 2 Cr-mitigation technology into 80-cell stack demonstrating low degradation (0.4%/kh) in ongoing test

Gen 2 Cr-mitigation now being manufactured into 120-cell (16 kW) stacks for System Demo

Developed cells with improved redox tolerance (94% lower loss after 6 redox cycles) to extend life in real-world system operating environment

Improved SOFC manufacturing & enhanced Quality Control specifications, tools and procedures increasing stack reliability and endurance

Developed and initiated fabrication of new Compact Stack Architecture (CSA) stack with potential significant reduction in raw material cost, and scalability for MW-class systems

Completed fabrication and initiated testing of a highly integrated 100 kW Modular Power Block and 200 kW SOFC system balance of plant

Preparations for a 200 kW System Demo Field Test are underway





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Guidance from NETL Management team: Shailesh Vora, Joseph Stoffa, Patcharin Burke, and Heather Quedenfeld

